

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Zhiqiang Zhang and
Frances E. Lockwood)

Filed: Simultaneously Herewith)

Serial No:)

For: PREPARATION OF STABLE CARBON
NANOTUBE DISPERSIONS IN LIQUIDS)

Atty. Docket No.: AD207/2001)

Assistant Commissioner for Patents
Box NEW APPLICATION
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Enclosed herewith is a list and copies of the prior art references considered pertinent to the examination of the above-identified application.

U.S. Patent 5,627,140 by Fossheim et al. for "Enhanced Flux Pinning in superconductors by Embedding Carbon Nanotubes with BSCCO Materials" issued in May of 1997;

U.S. Patent 5,523,006 by Strumban for an "Ultrafine Powder Lubricant" issued in June of 1996;

U.S. Patent 6,099,965 by Tennent et al. for a "Rigid Porous Carbon Structures, Methods of Making, Methods of Using and Products Containing Same" issued in August of 2000;

U.S. Patent 6,015,065 by McAllister for a "Compact Fluid Storage System" issued in January of 2000;

U.S. Patent 3,994,697 by Burke for a "Fuel Distributed Solid Lubricant for Internal Combustion" issued in November of 1976;

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U.S. Patent 5,302,450 by Rao et al. for a "Metal Encapsulated Solid Lubricant Coating System" issued in April of 1994;

U.S. Patent 5,761,583 by Custer for a "Liquid Nitrogen Printing Process and Apparatus and Toner Composition" issued in June of 1998;

U.S. Patent 6,031,711 by Tennent et al. for a "Graphitic Nanofibers in Electrochemical Capacitors" issued in February of 2000;

U.S. Patent 5,946,930 by Anthony for a "Self-Cooling Beverage and Food Container Using Fullerene Nanotubes" issued in September of 1999; and

U.S. Patent 5,853,877 by Shibuta for a "Method for disentangling Hollow Carbon Microfibers, electrically Conductive transparent Carbon Microfibers Aggregation Film and Coating for Forming such Film" issued in December of 1998.

Instruction manual Publication No. FS-IM-2 published in November of 1996 describing the use of the Fisher Scientific Model 550 Sonic Dismembrator manufactured by Fisher Scientific Company, located in Pittsburgh Pennsylvania.

Scientific Article entitled "Surfactant-Assisted Processing of Carbon Nanotube/Polymer Composites" by Xiaovi Gong, Jun Liu, Suresh Baskaran, Roger D. Voise, and James S. Young in *Chemistry of Materials*, Vol. 12, Issue 4, pp. 1047-1052, (2000);

OLOA 9061 Technical Brochure MATERIAL SAFETY DATA SHEET No. 006703 by Chevron Chemical Company LLC in Houston, TX revised on February 14, 1998;

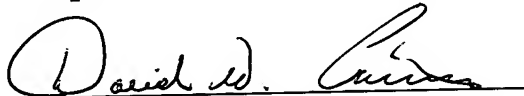
LUBRIZOL 4999 Technical Brochure MATERIAL SAFETY DATA SHEET

No. 1272553-1192556-3310026 The Lubrizol Corporation in
Wickliffe, OH, revised on February 16, 2001 and printed on July
11, 2001;

LUBRIZOL 9802A Technical Brochure MATERIAL SAFETY DATA SHEET
No. 1922959-1232446-3384064 The Lubrizol Corporation in
Wickliffe, OH, revised on August 21, 1999;

IGEPAL CO-630 Technical Brochure MATERIAL SAFETY DATA SHEET
from Rhodia Inc. in Cranbury NJ, revised on May 22, 1997.

Respectfully submitted,

A handwritten signature in dark ink, appearing to read "David W. Carrithers", is written over a horizontal line.

David W. Carrithers
One Paragon Centre
6060 Dutchman's Lane
Suite 140
Louisville, KY 40205
(502) 452-1233
Reg. No. 35,475